

## CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper and fee are being deosited with the United States Postal Service as First Class mail in an envelope addressed to the Commissioner of Patents, Alexandria, VA 22313-1450 on May 4, 2004

Catherine M. Robbins

NAME OF PERSON MAILING PAPER AND FEE

SIGNATURE OF PERSON MAILING PAPER AND FEE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Date: May 4, 2004

Stefan Georg Hild, et al : IBM Corporation

Serial No. 09/533,644 : Dept. T81/Bldg. 503

Filed: 03/22/2000 : P.O. Box 12195

Title: Method, Systems and Computer Program : Research Triangle Park, NC 27709

Products for Providing Secure Client Profile : Art Unit: 2132

Completion by Network Intermediaries : RECEIVED

INFORMATION DISCLOSURE STATEMENT

MAY 1 0 2004

Technology Center 2100

Commissioner for Patents

Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56 which they have just been made aware of from a foreign counterpart location.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97 (d) (e) each item of information contained in this

information disclosure statement was first cited in a communication from a foreign patent office in

a counterpart foreign application not more than three months prior to the filing of this information

disclosure statement; the Examiner is hereby authorized to charge the Petition Fee of \$130.00 to

Deposit Account 09/0461.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall

not be construed to mean that a search has been made or that no other material information as

defined in 37 CFR 1.56(a) exists. The attached form, PTO-1449, provides a listing of patents,

publications, or other information as required by 37 CFR 1.98(a)(1). A copy of each of the items

on PTO-1449 is supplied herewith.

Respectfully submitted,

A. Bruce Clay

Attorney for Applicants

Registration No. 32,121

PHONE: 919-543-9294; FAX: 919-254-4330

IBM Docket No. RSW919990133US1

attachments

Serial No. 09/533,644



**EXAMINER** 

(Modified) ATTY. DOCKET NO. SERIAL NO. . RSW919990133US1 09/533,644 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION APPLICANT: Stefan Georg Hild, et al DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE: GROUP: 03/22/2000 2132 REFERENCE DESIGNATION U.S. PATENT DOCUMENTS EXAMINER DOCUMENT FILING DATE DATE (IF APPRO.) NUMBER NAME CLASS SUBCLASS INITIALS AA AC MAY 1 0 2004 Technology Center 2100 FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER TRANSLATION COUNTRY DATE CLASS SUBCLASS YES NO 09/13/1996 Japan G06F 15/00 Abstract/Yes 06/20/1997 G06F 15/00 Abstract/Yes Japan 10/03/1997 Japan HO4L 12/46 Abstract/Yes OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) AS

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED



FORM PTO 449 (Modified) SERIAL NO. ATTY. DOCKET NO. 09/533,644 RSW919990133US1 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION APPLICANT: Stefan Georg Hild, et al DISCLOSURE STATEMENT FILING DATE: GROUP: (Use several sheets if necessary) 03/22/2000 2132 REFERENCE DESIGNATION U.S. PATENT DOCUMENTS EXAMINER DOCUMENT FILING DATE (IF APPRO.) CLASS SUBCLASS DATE NAME INITIALS NUMBER AC Technology Center 2100 FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO 02/20/1998 H04L 12/24 Abstract/Yes Japan 07/31/1998 H04L 12/54 Abstract/Yes 09/25/1998 Japan G06F 13/00 Abstract/Yes OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) AR AS EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT										OP.	ATTY. DOCKET NO. RSW919990133US1			SERIAL NO			
										O.C.	APPLICANT: Stefan Georg Hild, et al						
(Use several sheets if necessary)											FILING DATE:			GROUP:			
											03/22/2000	2132					
REFERENC	E.D	ES	SIC	NA	ΓIC	N			U.S.	PAT	ENT DOCUMENTS						
EXAMINER INITIALS		DOCUMENT NUMBER							DATE		NAME	CLASS		SUBCLASS	FILING DATE (IF APPRO.)		
	AA	ļ			$\perp$	4	_	1									
	AB				_	4	4	4					<b>⊋</b>	CEIV	ED		
	AC			Н	4	4	4	$\downarrow$			<u> </u>	15					
	AD	L			+	4		+						AY 1 0 2			
Technology Center												ter 210	10				
									FOREIG	N P	ATENT DOCUMENTS			•			
		DOCUMENT NUMBER					1BEF	₹							TRANSLATION		
									DATE		COUNTRY		SS	SUBCLASS	YES	NO	
	AL	2 6 5 3 2 5				09/29/1999 Japa		1	G06F		13/00	Abstract/Yes					
	AM	9	9	6 3	3 4		1 6	1	12/09/1999	PCT		G06F			Yes		
	AN																
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)																	
	AR																
	AS	T															
		r															
EXAMINER								•			DATE CONSIDERE	D				,	
·												<del></del>					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.